

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Werner Bauer et al.

Serial No.: 10/595,315

Filed: February 19, 2007

For: POLYMERIC COMPOSITIONS CONTAINING MODIFIED  
POLYVINYL ALCOHOLS

Group Art Unit: 1794

Examiner: Jennifer A. Chriss

Attorney Docket No.: WAS 0767 PUSA

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Markus Ege describing the contents of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Please charge any additional fees or credit any overpayments as a result of the filing of this paper to our Deposit Account No. 02-3978.

Respectfully submitted,

**Werner Bauer et al.**

By: 

William G. Conger  
Reg. No. 31,209  
Attorney for Applicants

Date: September 14, 2009

**BROOKS KUSHMAN P.C.**  
1000 Town Center, 22nd Floor  
Southfield, MI 48075-1238  
Phone: 248-358-4400  
Fax: 248-358-3351

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

WERNER BAUER ET AL.

Serial Number: 10/595315

Group Art Unit: 1794

Filed: October 07, 2004

For: POLYMERIC COMPOSITONS CONTAINING MODIFIED POLYVINYL  
ALCOHOLS

**Information Disclosure Statement**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Markus Ege, associated with the preparation and prosecution of the above-identified application, residing at Kleinhaderner Straße 15, 80689 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

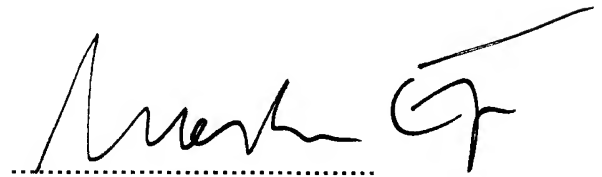
I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in a corresponding Japanese Office Action and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For JP 52093460 A the corresponding US 4043961 A is enclosed, which is already in English language.

Signed August 18, 2009.



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Dr. Markus Ege